Enhancing Neurodevelopmental Resilience from Conception to Adulthood

Robert L Hendren, DO Professor of Psychiatry and Behavioral Science



Faculty Disclosure

- Grants Curemark, Roche, Otsuka, NIH
- Advisory Board Curemark, BioMarin, Janssen, Axial Biotherapeutics
- Honoraria/Royalties: Oxford University Press, Taylor & Francis

PRONTO Lab: Program for Research On Neurodevelopmental and Translational Outcomes

 Our mission is to build resilience in children with or at risk of neurodevelopmental disorders through innovative biomedical and grit and resilience enhancing interventions and evaluate their impact in real world settings such as schools.



PRONTO Lab



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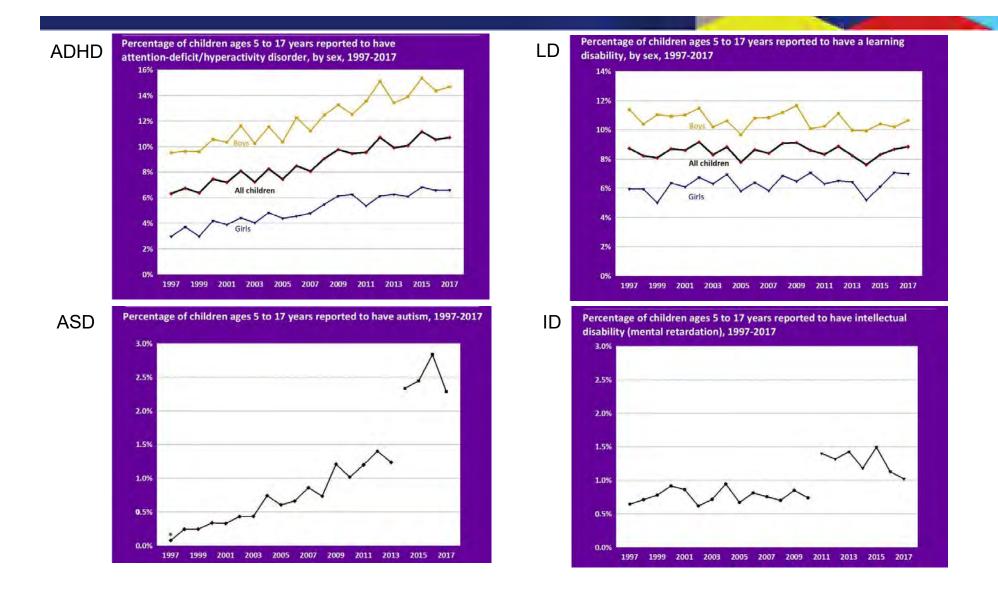
Bushra Hossain
Clinical Research Coordinator

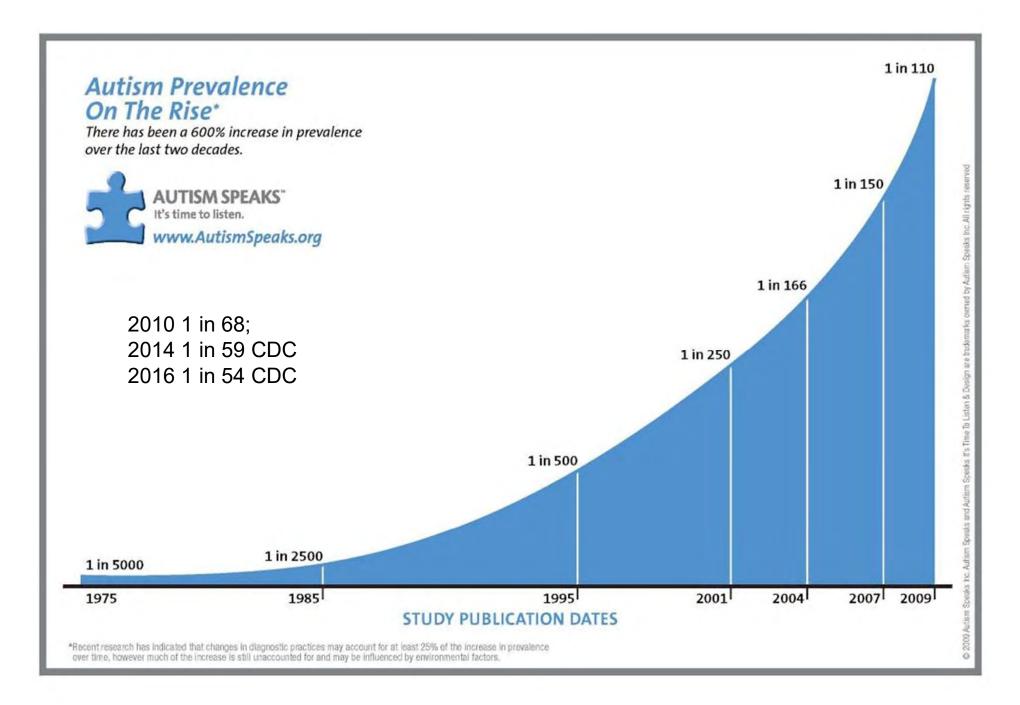
Resilience

- The capacity of a system to adapt successfully to challenges that threaten the function, survival, or future development of the system.
- Resilience is common, dynamic, generated through myriad interactions of multiple systems from the biological to the sociocultural, and mutable given strategic targeting and timing.
- Neuroscience based-interventions promote resilience by improving the executive functions (EF); inhibitory control, planning, and problem-solving skills, emotional regulation, and attentional capacities.



Increasing Prevalence of NDDs





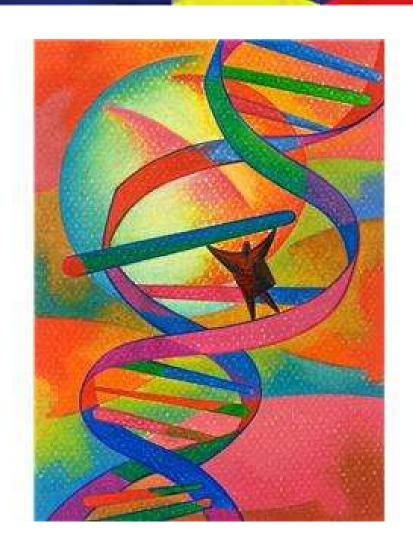
Prevalence of NDD

- Possible explanations include
 - Diagnostic expansion and substitution
 - Better reporting
 - Increased recognition
 - Increasing acceptability
 - Immigration for services
 - Environmental toxins
 - Infectious and immune vulnerability

Rutter M. Acta Pediatr. 2005;94(1):2-15. Centers for Disease Control and Prevention. Autism Spectrum Disorders. www.cdc.gov/ncbddd/autism. Accessed June 16, 2015. Hagerman R, Hendren RL (Eds). *Treatment of Neurodevelopmental Disorders: Targeting Neurobiological Mechanisms*. Oxford University Press; 2014.

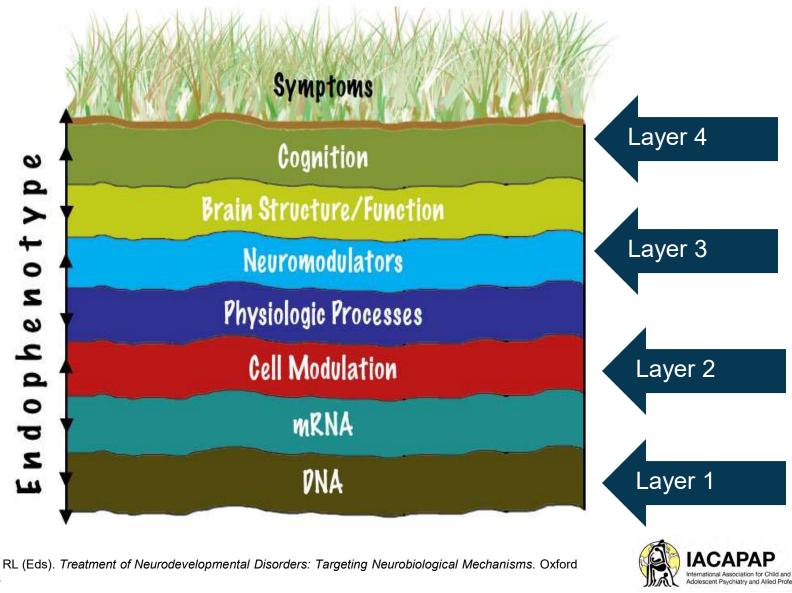
Model for Neurodevelopmental Disorder (NDD) Etiology

- First hit Genetic neurodevelopmental vulnerability
- Second hit Environmental "stressor" and interaction between the two
- Third hit Restricted development



Translating from "Terroir": Model

Epigenetic Layer to Targeted Treatment



Hagerman R, Hendren RL (Eds). Treatment of Neurodevelopmental Disorders: Targeting Neurobiological Mechanisms. Oxford University Press; 2014.



Layer-Based Interventions

Layer 4 – Behavioral interventions, family support, structure

Layer 3-4 – Speech and language, OT, therapy, CBT

Layer 2-3 – Pharmacotherapy

Layer 2 – Biomedical/epigenetic

Layer 1 – Gene modification



Enhancing Perinatal Neurodevelopment

Brain Growth and Development

- Parental history and early developmental experiences also exert effects through epigenetic information not contained in the DNA sequence, which cause changes in gene expression
 - methylation and chromatin patterning
 - Histone acetylation
 - noncoding RNAs and mitochondria
- Transgenerational epigenetic effects interact with conditions at conception to program the developmental trajectory of the embryo and fetus, ultimately affecting the lifetime health of the child



Contents lists available at ScienceDirect

Medical Hypotheses

journal homepage: www.elsevier.com/locate/mehy



Improving autism perinatal risk factors: A systematic review

Jenna Cheng^a, Brenda Eskenazi^b, Felicia Widjaja^c, José F. Cordero^d, Robert L. Hendren^{e,*}



- MEDLINE search for studies between January 1, 2005 and July 1, 2018 for perinatal
 risk factors and autism, risk factors such as infections, medications, and
 environmental factors including non-chemical stressors, chemical and nutritional
 factors. Then, we searched for interventions that may improve neurodevelopmental
 outcome including nutritional supplements during pregnancy, breastfeeding, and
 postpartum stress reduction.
- Conclusions: Studies of individual components for improving pregnancy outcomes and several uncontrolled preconception to infancy medical practices suggest that multiple interventions might improve the outcomes of pregnancies where there is risk for developing ASD.

Pregnancy NDD Risk (Grade B Mod)

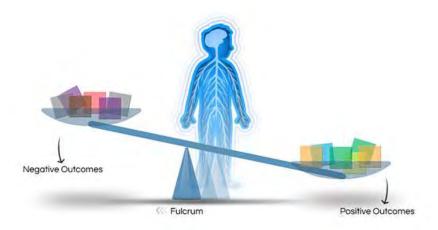
- Maternal Infection and Inflammation (congenital rubella)
- Environmental Toxicants (methylmercury, PCBs, Toluene, Arsenic)
- Air Pollution
- Pesticides
- Bisphenols and Phthalates
- Valproic Acid
- Thalidomide
- SSRIs
- Acetaminophen
- Heavy Metals



Can NDDs be Prevented? (Grade C Mod)

- Folic Acid and multivitamin Supplements before and during pregnancy associated with reduced risk of ASD (45,300 children; P<.001)
- Omega-3 Polyunsaturated Fatty Acid
- Vitamin D
- Antioxidants
- Iron
- Choline/phosphatidylcholine
- One practice minimized toxicant exposure; maximized breastfeeding; probiotics, nutritional counseling; limited antibiotics; minimized acetaminophen
 - Out of 294 general pediatric patients followed since 2005 there were 0 new cases of autism

Targeting Neurodevelopmental Resilience



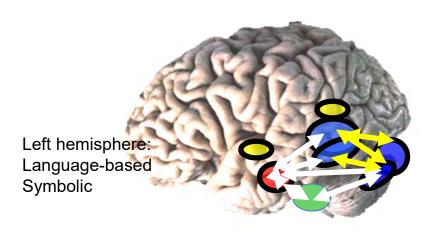
UCSF Dyslexia Center

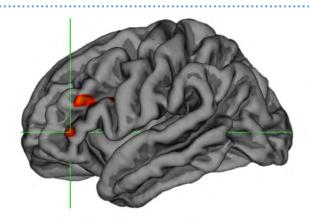


- Our vision is to demonstrate more clearly how neuroscience research can impact education, with a focus on personalized learning.
- Phenotyping Project: Neuroscience based evaluation- Marilu Gorno Tempini, MD, PhD
- School Outcome project: Hendren PRONTO lab

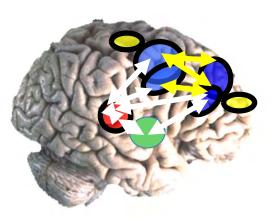


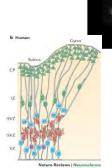
Neural Networks and Biology





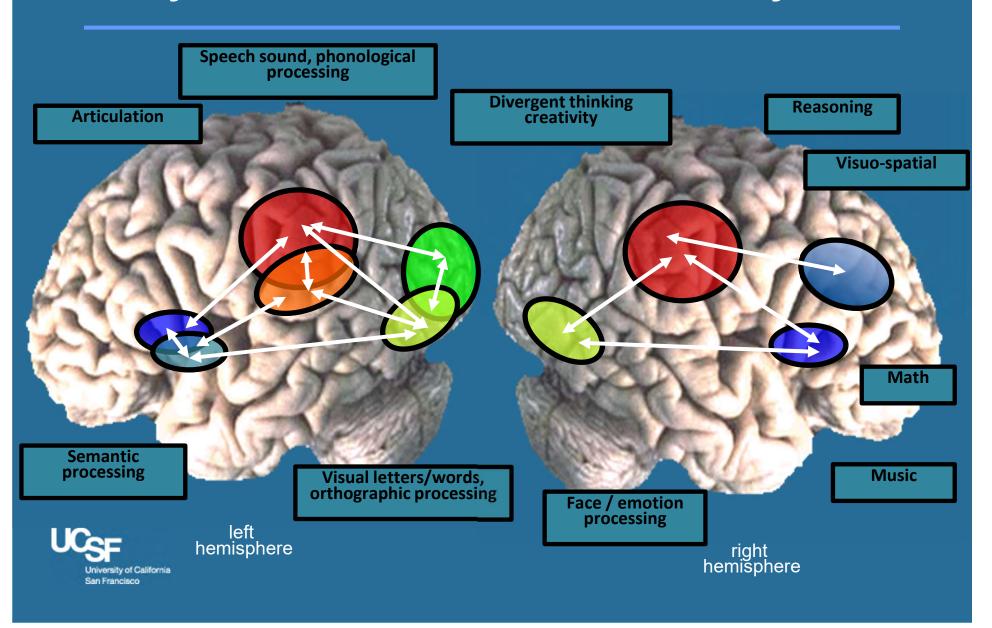
Right hemisphere: Visuo-spatial-based Social



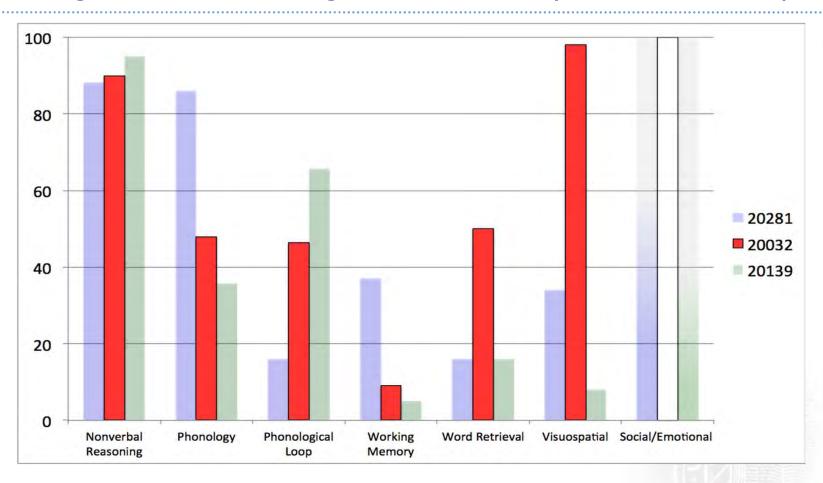




Many brain networks are involved in dyslexia

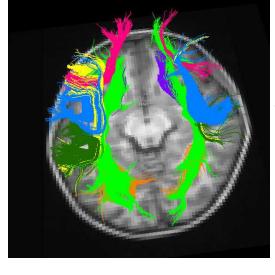


Cognitive Testing Overview (three cases)

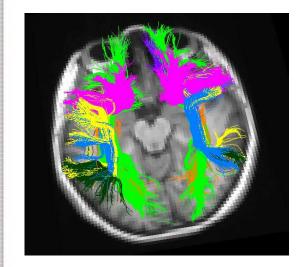




Three dyslexia cognitive/neural phenotypes

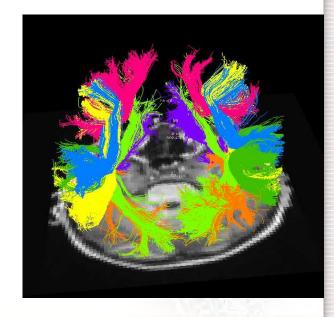


Visuo-spatial/social



Working memory Insight





Developing a Collaborative School Based Outcome System for Youth with Dyslexia:

Charles Armstrong School, Athena Academy, Chartwell School & UCSF Dyslexia Center





Anxiety and Academic Performance in RD

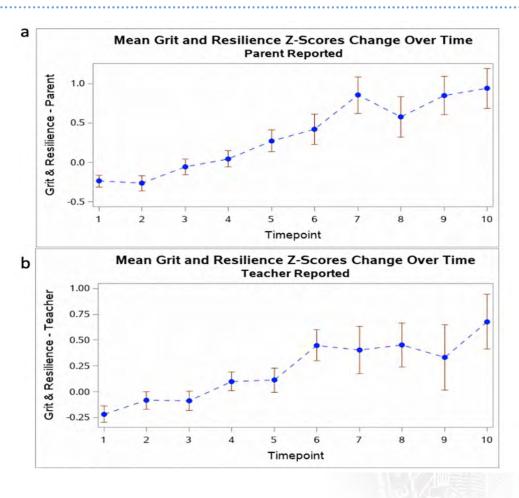
- Anxiety is significantly associated with academic performance in children with RD
 - At each cross-sectional timepoint
 - Those with higher anxiety tend to have poorer academic performance
 - Within an individual over time
 - As a child's anxiety increases over time, their academic performance decreases
- Significance remains even after adjusting for age, sex and ADHD



Grit & Resilience in RD

- On average, grit and resilience improves over time
- Grit and resilience is significantly associated with all outcomes in children with RD
- Significance remains even after adjusting for age and sex







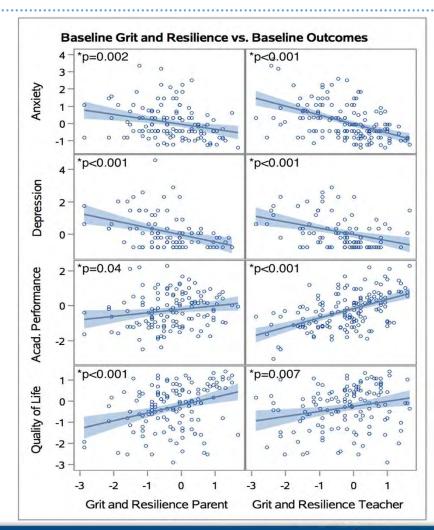
Grit & Resilience in RD

At baseline

- Those with higher grit and resilience tend to have
 - Lower anxiety symptoms
 - Lower depression symptoms
 - Better academic performance
 - Better quality of life

Within an individual over time

- As a child's grit and resilience increases over time, their
 - anxiety decreases
 - depression decreases
 - academic performance improves
 - quality of life improves





"Training for Awareness, Resilience, and Action" (TARA)

- The TARA model takes into consideration the developmental limitation in top-down cognitive control in adolescence and promotes bottom-up strategies such as vagal afference to decrease limbic hyperactivation and its secondary effects.
- Informed by mindfulness-based therapy and yoga, as well as modern psychotherapeutic techniques.
- Semi-manualized, progressive, and applied in a module-based approach designed for a 12 week group
- R61 to determine the neural mechanism by which meditation exerts its positive effect on emotional health of adolescents.
- Ho that structural connectivity of the putamen with other brain regions will positively affect emotional health.

Biomedical CIM



CAM/CIM/Biomedical and NDD

- National Center for Complementary and Alternative
 Medicine defines CAM as "a group of diverse medical and
 health care systems, practices, and products that are not
 generally considered to be part of conventional medicine"
- Seventy-five percent of children with ASD consumed supplements with multivitamins (77.8%), vitamin D (44.9%), omega 3 (42.5%), probiotics (36.5%), and magnesium (28.1%) as the most prevalent.
- The main reasons for choosing CAM were related to concerns with the safety and adverse effects of prescribed medications
- Physicians not perceived as a knowledgeable resource

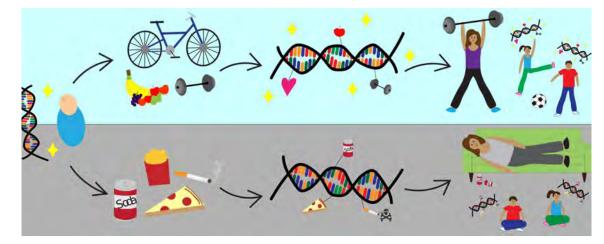


"I'm afraid you've had a paradigm shift."

Epigenetic Processes

- Phenotypes represent the full picture of the expression of an individual's genes given the environment.
- Epigenetics is the study of how the environment can influence gene expression and even pass it along through generations without altering the gene structure.
- Process through which an environmental stimulus during development alters epigenetic marks (e.g. DNA methylation, histone modifications, etc) and thus gene expression altering developmental trajectory and phenotypic expression.
- These alterations are potentially reversible and preventable

Gottesman II, Gould TD. Am J Psychiatry. 2003;160:636-645.; Kofink, 2013; El-Sayed, et al. 2013; McKinney BC, AM J Psychiatry; 2017, 174:12.



Gene-Environment Interactions and Endophenotype (Terroir Layer 2; Evidence Levels 2 & 3)

- Immune abnormalities/inflammation
- Oxidative stress
- Disturbed methylation
- Mitochondrial dysfunction
- Free fatty acid metabolism
- Excitatory/inhibitory imbalance
- Hormonal effects
- Microglia

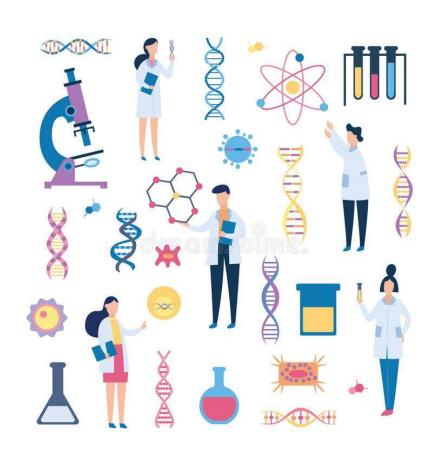


A New Paradigm (Grade C Mod; Neutral)

- Significant subsets of people with NDD have intestinal inflammation, digestive enzyme abnormalities, metabolic impairments, oxidative stress, mitochondrial dysfunction, and immune problems that range from immune deficiency to hypersensitivity to autoimmunity
- In many cases, improvement of NDD symptoms is achieved by a combination of nutritional recommendations, prescription medications, and addressing the underlying medical conditions seen in these individuals

BioMedical/CIM Treatments

- Melatonin
- Omega-3
- Vitamin D3
- NAC
- Methyl B12
- Vitamin/Mineral Supplements
- Diet
- Microbiome
- Pancreatic Digestive Enzymes
- CBD/THC
- Probiotics



Over the Counter (OTC)

Amino acids	Fatty Acids (Omega 3)	Methyl B12	Vitamin A
Antifungals	Folic/ folinic acid	N-acetylcysteine (NAC)	Vitamin B3
Antivirals	Glutathione	Oxytocin	Vitamin B6/ Magnesium
Cholestyramine	5-Hydroxytryptophan (5-HTP)	Probiotics	Vitamin C, D
CoQ10	Iron supplement	Pyridoxal-5´-phosphate (P5P)	Zinc
Curcumin	1-Carnosine	Ribose and NADH	Sulforaphane McGuinness, 2020
Digestive enzymes	Magnesium	S-adenosyl-l-methionine (SAMe)	Micronutrients Adams, 2018
Dimethylglycine (DMG, TMG)	Melatonin	St. John's Wort	

US Preventive Services Task Force evidence review criteria for Grade of net benefit of A (high certainty of benefit), B (moderate certainty of benefit), C (net benefit small), D (no benefit or harms outweigh benefit), I (evidence lacking or conflicting), followed by Levels of grade certainty of Low, Moderate, High, followed by recommendation for practice.

Popular Biomedical/CAM Treatments with Insufficient/Negative Evidence

- Chelation (Grade D)
- HBOT (Grade D)
- IVIG (Grade I)
- Secretin (Grade D)
- Memantine (failed trials)
- Arbaclofen (failed trial)
- Vasopressin (failed trials)

Challenges of CAM/Biomedical Research

- Sample size for effect size
- Heterogeneity of ASD
- Duration of trial
- Biomarker for inclusion
- Holding other treatments constant
- Blinding
- Formulation variability
- IRB issues
- Ethical issues

Targeting Shared Mechanisms

Immune/Inflammation

- Melatonin (Grade B Mod; Rec)
- IV/IG (Grade D Low; Poor)
- Corticosteroids (Grade D Low; Poor)
- Celecoxib plus risperidone:
 RCT for irritability,
 withdrawal, and stereotypy
 (Grade I Low; Poor)
- Vitamin D (Grade B Mod; Rec)

Mitochondrial Function

- Carnitine (Grade C Low; Insuff)
- CoQ10 (Grade C Low; Insuff)
- L-carnosine (Grade C Low; Insuff)

Melatonin (Grade B; Mod; rec)

- Endogenous neurohormone causes drowsiness, establishes circadian rhythms and synchronization of peripheral oscillators, and is produced from serotonin
- Review and meta-analysis of 35 studies reported that of 18 treatment studies, there were 5 RCTs (N = 61, 2 to 10 mg/day) where sleep duration (44 min, ES = .93) was increased, sleep onset latency was decreased (39 min, ES = 1.28), but nighttime awakenings were unchanged
- Adverse effects were minimal to none
- May also benefit social communication impairments and stereotyped behaviors or interests
- Prolonged release efficacious

Vitamin D (Grade B Mod; rec)

- "Ecological Evidence" Northern latitudes, rainfall, skin pigment. Low levels of vitamin D reported
- Vitamin D activates serotonin-synthesizing gene
- Vitamin D is a "potent neurosteroid"
- 57% of the 122 pts had vitamin D deficiency, and 30% had vitamin D insufficiency. Mean 25-OHD levels in pts with severe autism were significantly lower than those in pts with mild/moderate autism. Vitamin D3 (300 IU/kg/day not to exceed 5000 IU/day) given for 3 months. 83 ss completed 3 months of treatment. Collectively, 80.72% (67/83) had significantly improved CARS and ABC outcomes.

Jia et al. Pediatrics, 2014; Patrick RP, et al. *FASEB J*. 2014;28(6):2398-2413. McGrath J, et al. *Trends Neurosci*. 2001;24(10):570-572. Saad K, J Child Psychol Psychiatry. 2016

Targeting Shared Mechanisms

Oxidative Stress

Glutathione (Grade I Low; insuff)

• Methyl B12 (Grade B Mod; neutral)

Curcumin – anti-inflammatory and antioxidant activity (Grade I Low; insuff)

• NAC (Grade B Mod; rec)

Neurotransmitter Production

- Tetrahydrobiopterin (Grade I Low; insuff)
- Rivastigmine & donepezil
 – parasympathomimetic or cholinergic agent (Grade | Mod; insuff)
- Galantamine acetylcholinesterase inhibitor (Grade I Mod; insuff)

NMDA = N-methyl-D-aspartate

GABA

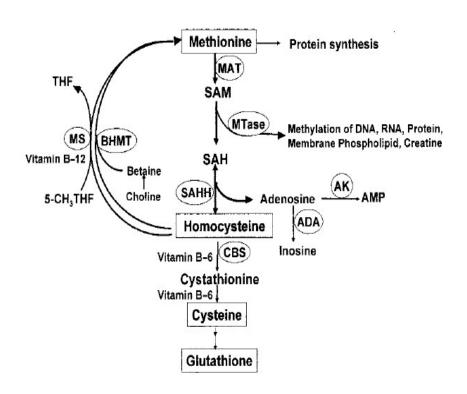
- Arbaclofen (STX209) (Grade D Mod; ineff)
- Bumetanide diuretic (Grade I Mod; Low)

<u>Glutamate</u>

- Riluzole used to treat amyotrophic lateral sclerosis (Grade I Mod; insuff)
- D-cycloserine partial agonist of the neuronal NMDA receptor (Grade B Mod; insuff)

Kern JK, et al. *Med Sci Monit*. 2011;17(12):CR677-CR682. Bertoglio K, et al. *J Altern Complement Med*. 2010;16(5):555-560. Darvesh AS, et al. *Expert Opin Investig Drugs*. 2012;21:1123-1140. Frye RE, et al. *Transl Psychiatry*. 2013:e237. Chez MG, et al. *J Child Neurol*. 2004;19(3):165-169. Nicolson R, et al. *J Child Adolesc Psychopharmacol*. 2006;16(5):621-629. Wang P, et al. Presented at: International Society for Autism Research; May 14, 2011; San Diego, CA. Abstract 8281. Hadjikhani N, et al. *Autism*. 2013;Epub ahead of print. Wink LK, et al. *J Child Adolesc Psychopharmacol*. 2011;21(4):375-379. Posey DJ, et al. *Am J Psychiatry*. 2004;161(11):2115-2117.

Metabolic Biomarkers of Increased Oxidative Stress and Impaired Methylation Capacity in Children with Autism



Comparison of methionine cycle and transsulfuration metabolites between autistic children and control children¹

	Control children $(n = 33)$	Autistic children $(n = 20)$
Methionine (μmol/L)	31,5 ± 5,7 (23–48)	$19.3 \pm 9.7 (15-25)^2$
SAM (nmol/L)	96.9 ± 12 (77-127)	$75.8 \pm 16.2 (68-100)^3$
SAH (nmol/L)	$19.4 \pm 3.4 (16-27)$	$28.9 \pm 7.2 (14-41)^2$
SAM:SAH	$5.2 \pm 1.3 (4-8)$	$2.9 \pm 0.8 (2-4)^2$
Adenosine (µmol/L)	$0.27 \pm 0.1 (0.1-0.4)$	$0.39 \pm 0.2 (0.17 - 0.83)^4$
Homocysteine (µmol/L	$0.6.4 \pm 1.3 (4.3-9.0)$	$5.8 \pm 1.0 (4.0 - 5.8)^3$
Cystathionine (µmol/L	$0.17 \pm 0.05 (0.1-0.27)$	$0.14 \pm 0.06 (0.04 - 0.2)^5$
Cysteine (µmol/L)	202 ± 17 (172-252)	$163 \pm 15 (133 - 189)^2$
tGSH (µmol/L)	$7.6 \pm 1.4 (3.8-9.2)$	$4.1 \pm 0.5 (3.3-5.2)^2$
Oxidized glutathione (nmol/L)	$0.32 \pm 0.1 (0.11 - 0.43)$	$0.55 \pm 0.2 (0.29 - 0.97)^2$
tGSH:GSSG	$25.5 \pm 8.9 (13-49)$	$8.6 \pm 3.5 (4-11)^2$

¹ All values are $\bar{x} \pm \text{SD}$; range in parentheses. SAM, S-adenosylmethionine; SAH, S-adenosylhomocysteine; tGSH, total glutathione; GSSG, oxidized glutathione.

^{2–5}Significantly different from control children: $^2P < 0.001$, $^3P < 0.01$, $^4P < 0.05$, $^5P < 0.002$.

Methyl B12 Study (Grade B Mod; insuff) UCSF (Autism Speaks)

- 53 children between the ages of 3 and 7 years enrolled in study at UCSF funded by Autism Speaks
- Eligible children randomly assigned to 8 weeks of treatment with methyl B12 at 75 ug/kg given SubQ every 3 days
- Primary outcome measure CGI-I and the mean at 8 weeks was significantly better (lower) in the methyl B12 group (2.4) compared to the placebo group (3.1) (95% CI 1.2 to 0.2, P = .005
- Clinical improvement in CGI-I was significantly correlated with methionine (P = .05), decreases in SAH (P = .007), and improvements in SAM/SAH (P = .007)

Hendren RL, et al. Journal of Child and Adolescent Psychopharmacology (2016). ClinicalTrials.gov Identifier: NCT01039792.

NAC in Children with Autism (Grade B Mod; rec)

- NAC is a glutamatergic modulator and an antioxidant
- 12-week, double-blind, randomized, placebo-controlled study of NAC in children with autistic disorder
- NAC was initiated at 900 mg daily for 4 weeks, then 900 mg twice daily for 4 weeks, and 900 mg 3 times daily for 4 weeks
- 33 patients (31 male, 2 female; aged 3.2 to 10.7 years) were randomized
- Oral NAC was well tolerated with limited adverse effects
- Compared with placebo, NAC resulted in significant improvements on ABC-I (F = 6.80; P < .001; d = .96)

Metabolic/Amino Acids/Nutrient

•	Omega 3		(Grade B Mod; rec)	
•	B6/Magnesium	(Grade I Mod; insuff)		
•	Folic acid		(Grade I Mod; insuff)	
•	Iron (Grade A Low; rec if indicated)			
•	Ascorbic acid		(Grade I Low; insuff)	
•	Zinc and copper	(Grade I Low- insuff)		
•	Inositol low; insuff)		(G	Grade I
•	Diet (Grade I low; insuff)			
•	Oxytocin		(Grade C Mod; insuff)	
Micro	<u>obiome</u>			
•	Probiotics		(Grade B Mod; insuff)	
•	Pancreatic Digestive Enzymes (Grade C Mod: insuff)			

Cheng JX, et al. *Adolesc Med State Art Rev.* 2013;24(2):446-464. Lofthouse N, et al. *Autism Res Treat*. 2012;2012:870391.

Folinic acid improves verbal communication in children with autism and language impairment

(Grade B Mod; insuff)

- Forty-eight children (mean age 7 years 4 months; 82% male) with ASD and language impairment were randomized to receive 12 weeks of high-dose folinic acid (2 mg kg - 1 per day, maximum 50 mg per day; n = 23) or placebo (n= 25).
- Improvement in verbal communication, as measured by a ability-appropriate standardized instrument, was significantly greater in participants receiving folinic acid as compared with those receiving placebo, resulting in an effect of 5.7 (1.0,10.4) standardized points with a medium-to-large effect size (Cohen's d = 0.70).
- Folate receptor-α autoantibody (FRAA) status was predictive of response to treatment.

Microbiota Modulate Behavioral and Physiological Abnormalities Associated With Neurodevelopmental Disorders (Grade C Mod)

- Demonstrate GI barrier defects and microbiota alterations in the MIA mouse model that is known to display features of ASD
- Oral treatment of MIA offspring with the human commensal Bacteroides fragilis corrects gut permeability, alters microbial composition, and ameliorates defects in communicative, stereotypic, anxiety-like, and sensorimotor behaviors
- Microbiota Transfer Therapy

Pancreatic Digestive Enzymes

(Grade I Mod; insuff)

- Enzyme deficiencies in children with autism result in an inability to digest protein
- The inability to digest protein affects the production of amino acids essential for brain function
- RCT completed but not published
- Biomarker fecal chymotrypsin

Other Considerations

- Medical marijuana/THC/CBD and the endocannabinoid systems (Level 4)
- GABA-A (Level 3)
- Vitamins and Mineral Supplements (Level 2)
 - Relatively high doses of Vitamins B1, B2, B3, B5, B6, B12, biotin, folate, C,
 D, and K
 - Folate instead of folic acid
 - MSM (a good source of sulfate which is low in many ASD)
 - Low-dose lithium (more than 100 × below the levels when it is used as a psychiatric medication



Oak Hill serves a heterogeneous population of children, adolescents, and young adults, all of whom have autism spectrum disorder (ASD) or other neurologically-based disorders of relating and communicating. Students receive special education instruction and customized on-site clinical programs which may include speech/language pathology, occupational therapy, and group and individual psychotherapy. A portion of students have received recent functional behavior analyses (FBAs), with school staff implementing positive behavior intervention programs. The school also offers arts-based therapies and adaptive arts instruction.

UCSF & OAK HILL SCHOOL

INTEGRATING MEDICINE AND EDUCATION

Optimize children's internal environment to best utilize educational, social, and life skills interventions by:

Enhancing biomedical resilience

Creating a Medical Home

Measuring effects of interventions

behavioral, academic, social, physiological

Identifying educational and treatment targets

Developing new effective treatments

Supported by J. S. Foundation

Sulforaphane trial at Oak Hill School

Methods

- 15 subjects, ages 5-22 (mean age 14.7), with ASD diagnoses, attending Oak Hill School (San Anselmo, CA)
- Open-label, 12-week study
- Daily, weight-based dose of sulforaphane
- Baseline and final ABC, SRS, and urine sample

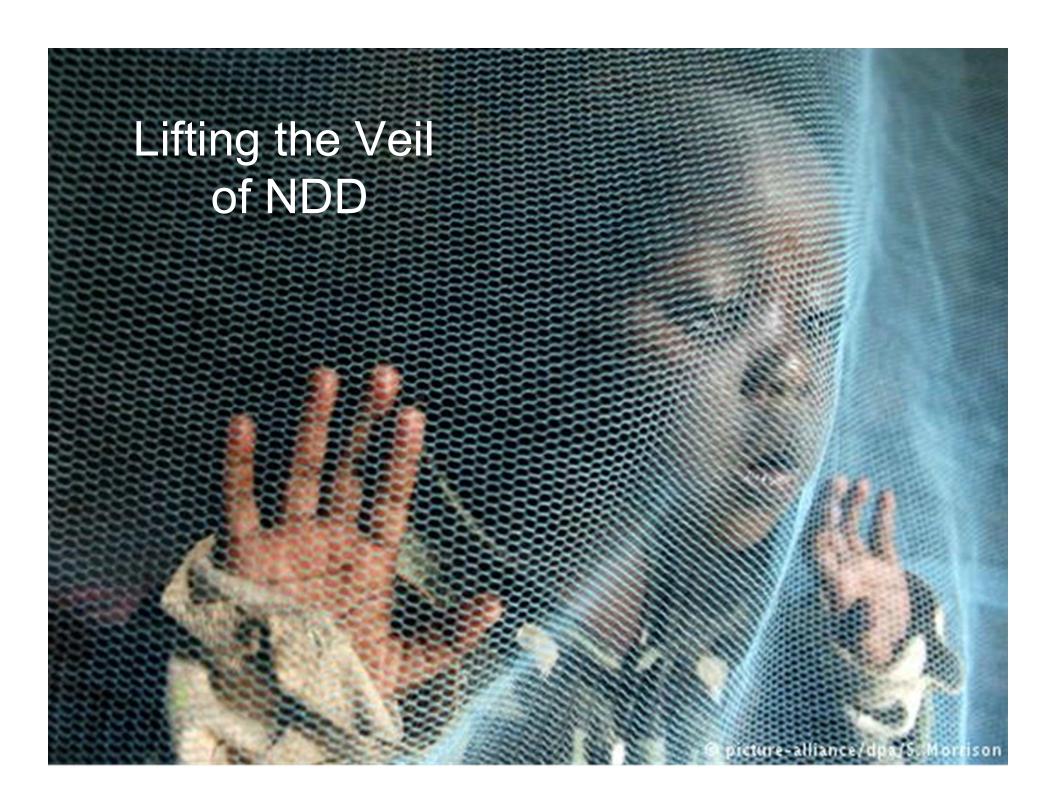




Results

- ABC improved -7.1 points (95% CI: -17.4 to 3.2).
- SRS improved significantly -9.7 points (95% CI: -18.7 to -0.8).
- 77 urinary metabolites were correlated with changes in symptoms, clustered into the following pathways:
 - Oxidative stress
 - Amino acid/gut microbiome
 - Neurotransmitters
 - Hormones
 - Sphingomyelin metabolism

Bent ... Hendren, Molecular Autism 2019



Integrated Whole Body Approach to Enhancing Neurodevelopmental Resilience

- Medical genetic, neurology, GI, other medical symptoms
- Ancillary meditation, speech, OT
- Behavioral
- Treat associated symptoms pharmacology
- Biomedical assessment and treatments
- Building personal relationships and resilience are all encompassing

